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PATENT

Application # 09/697,419

Attorney Docket # 1999P07938US01 (1009-045)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Alan D. McNutt

Application #

09/697,419

Confirmation #

5374

:

Filed

26 October 2000

Application Title

RE-PROGRAMMABLE FLASH MEMORY MICRO

CONTROLLER AS PROGRAMMABLE LOGIC

CONTROLLER

Art Unit#

2124

Latest Examiner

Tuan A. Vu

Mail Stop Petitions Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

PETITION TO ENTER DECLARATION UNDER 37 C.F.R. § 1.132

- 1. Applicant respectfully petitions the Commissioner to enter into the record the Replacement Declaration Under 37 C.F.R. § 1.132 of Dr. Ronald D. Williams that was filed on 19 August 2005.
- The original Declaration Under 37 C.F.R. § 1.132 of Dr. Ronald D. Williams was filed on 2
 May 2005, and was entered into the record at least by the issuance of the 27 June 2005 Office
 Action.
- 3. On page 15 of that 27 June 2005 Office Action, the Office noted that "the declaration under 37 CFR 1.132 filed 5/2/2005 is insufficient to overcome the rejection of claims 4-11..." because "the date 10/26/2000 used by the argument as the priority date of the Application is erroneous because the effective date therefor should be 10/26/1999" (emphasis added).
- 4. Thus, the 27 June 2005 Office Action both provided the corrected priority date and indicated that the correct priority date "should be" in the Declaration.

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10/28/2005 BABRAHA1 00000078 09697419

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02 FC:1464

130.00 OP

03 FC:1251

120.00 OP

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- 5. To address this minor informality, for which the 27 June 2005 Office Action both provided and requested the needed correction, applicant filed the Replacement Declaration Under 37 C.F.R. § 1.132 of Dr. Ronald D. Williams on 19 August 2005.
- 6. The only substantive change in the Replacement Declaration versus the original Declaration was the correction of each mention of the priority date of the application to the correct priority date of 26 October 1999.
- Via the cover sheet accompanying that Replacement Declaration, applicant explained that the Replacement Declaration was filed to "correct[] each mention of the priority date of the application to 26 October 1999".
- 8. Via the Advisory Action dated 7 October 2005, the Replacement Declaration was refused entry because applicant allegedly "failed to provide a showing of a good and sufficient reasons [sic] why the affidavit or other evidence is necessary and was not earlier presented. See 37 CFR 1.116(e)."
- 9. Yet applicant did explain, upon filing the Replacement Declaration, that the Replacement Declaration was being filed to "correct[] each mention of the priority date of the application to 26 October 1999", which the 27 June 2005 Office Action strongly implied, via its use of the phrase "should be", was a "good and sufficient reason why" the filing of the Replacement Declaration was "necessary".
- 10. Moreover, other than the correction of the inadvertent error regarding the priority date of the present application, the Declaration was "earlier presented", as acknowledged by the 27 June 2005 Office Action.
- 11. Further, but for the 27 June 2005 Office Action bringing to applicant's attention the fact that the original Declaration contained a inadvertent error regarding the priority date, applicant would not have known about the inadvertent error, and thus could not have "earlier presented" the Replacement Declaration.
- 12. Thus, applicant respectfully submits that the refusal to enter the Replacement Declaration was improper, and respectfully requests the Commissioner to enter the Replacement Declaration into the record.

From: Eden

PATENT

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CONCLUSION

Because the refusal to enter the Replacement Declaration was improper, applicant respectfully requests that the Commissioner waive the fee associated with this Petition.

The Office is hereby authorized to charge any additional fees or credit any overpayments under 37 C.F.R. 1.16 or 1.17 to Deposit Account No. 50-2504. The Examiner is invited to contact the undersigned at 434-972-9988 to discuss any matter regarding this application.

Respectfully submitted,

Michael Haynes PLC

Michael N. Haynes

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Date: 25 October 2005

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PTO/5B/17 (12-04)

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U.S. Patent and Tradement Office; U.S. DEPARTMENT OF COMMERCE
Under the Penerwork Reduction Act of 1995, to persons are considered to restored the content of information unless it discloses a valid OMB control number. Effective on 12/08/2004.
Fées pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4918). Complete If Known Application Number <u>09/697.419</u> TRANSMIT 26 October 2000 Fliing Date For FY 2005 McNutt, Alan First Named Inventor Examiner Name Vu, Tuan A. □ Applicant plaims small entity status, See 37 CFR 1.27 2193 750.00 TOTAL AMOUNT OF PAYMENT (\$) Attorney Docket No. 1999P07938US01 (1009-045) METHOD OF PAYMENT (check all that apply Check 🔽 Credit Card Money Order None Other (please identity): Doposit Account Deposit Account Number: Deposit Account Name:_ For the above-identified deposit account, the Director is hereby authorized to: (chack all that apply) Charge fee(e) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) ~ Credit any overpayments under 37 CFR 1.15 and 1.17 WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2088. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES FILING FEEB **EXAMINATION FEES** SEARCH FEES **Small Entity** Small Entity Small Entity **Application Type** Fee (\$) Fee (\$) Fees Paid (\$) Foc (8) Fee (\$) Pee (\$) Utility 300 130 500 250 200 100 Design 200 100 100 130 50 65 Plant 200 100 300 160 150 80 Reisme 300 150 500 600 250 300 Provisional 200 100 Ò n ń 2. EXCESS: CLAIM FEES Small Entity Fee (\$) Fee Description Fee (\$) Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 25 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 360 180 Fee Paid (\$) Multiple Dependent Claims **Total Claims** Extra Claims Fee (\$) 20 of HP = 0 <u>50</u> Fee (\$) Fee Pald (\$) HP = highest number of total claims paid for, if greater than 20 0 Fee (\$) 200 Extra Claims Fee Paid (\$) 3 or HP = Ó 0 HP = highest number of independent claims peld for, if greater than 3 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee Paid (\$) / 50 = (round up to a whole number) x - 100 = 0 4. OTHER FEE(S) Fees Paid (3) Non-English Specification, \$130 fee (no small entity discount) Ò 750 Other: Notice of Appeal, Petition fee under 37 C.F.R. 1.17 (h), First Month Extension SUBMITTED BY Registration No. Telephone 434-972-9988 Signature Michael N. Haynes Name (Print/Type) Date 26 Oct 2005

This collection of information is required by 3F CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 80 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any optimization the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Paterit and Trademark Office, U.S. Department of Commerce, P.O. Box 1480, Alexandria, VA 22313-1480, OO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1460, Alexandria, VA 22313-1480.

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